

SERVICE FEES

Margin Extensions	\$10.00/event
Outgoing Transfer Fee ¹	\$125.00
Voluntary Cash Tenders	\$35.00/event
Mandatory Tenders ¹	\$15.00/event
Legal Transfers ¹	\$70.00/event
Returned Checks	\$20.00/event
Returned ACH	\$20.00/event
Statement Surcharge ¹	\$0.75/statement
Confirmation Surcharge	\$0.75/confirmation
Wired Funds	\$20.00/wire
Overnight (Business Day)	\$12.00
▪ Saturday Delivery ¹	\$18.00
IRA Termination Fee	\$75.00
Equity Dividend Reinvestment	\$1.00

ANNUAL FEES

Traditional IRAs, Roth IRAs, Education IRAs, and 5305-SEPs	\$43.50
Mutual Fund Only Retirement Accounts ²	\$12.50
Inactive Accounts – Equity ¹	\$25.00/year
Inactive Accounts – Mutual Fund ²	\$12.50/year

¹ Infinex Investments, Inc adds an additional amount (“markup”) on the fee imposed by Pershing.

² A fee assessed by Infinex Investments, Inc and not Pershing.

This schedule represents Infinex’s standard fees and expenses. Please note other fees may apply. Depending upon the circumstances, individual advisors may have the ability to negotiate lower fees and expenses for their clients. Investment and insurance products and services are offered through Infinex Investments, Inc., Member FINRA/SIPC. Infinex and the bank are not affiliated. Products and services made available through Infinex are not insured by the FDIC or any other agency of the United States and are not deposits or obligations of nor guaranteed by any bank or bank affiliate. These products are subject to investment risk, including the possible loss of value.