



INSURANCE PRODUCTS

INFINEX INVESTMENTS, INC.

ERISA Section 408 (b) (2) Online Disclosure Notice

This Online Disclosure Notice describes the compensation received by Infinex Investments, Inc. ("Infinex", "we", "us", or "our") with respect to the services provided by Infinex to your ERISA qualified retirement plan (the "Plan") which is or will be established directly at An insurance company's recordkeeping platform investing in a group annuity contract, group funding agreement or other insurance company issued contract (hereinafter, collectively, a "Group Annuity"). Infinex is not the custodian of the Plan assets.

About Us

Infinex is a securities broker/dealer registered with the SEC and FINRA. We are headquartered at 538 Preston Avenue; Meriden, CT 06450-4858. We provide certain services on behalf of your Plan as described below in Description of Services. These services are provided by your financial advisor as a registered representative of Infinex.

Description of Services

Infinex provides non-fiduciary, non-discretionary investment-related brokerage services to your Plan. Services may include providing general research, financial information and data to the Plan to assist the Plan in its selection and monitoring of a recordkeeping platform and its specific investment options; meeting with the Plan to review investment information, investment performance, fee and expense analyses, and services for the Plan; and educating the participants on investment issues.

Direct Compensation Payable to Infinex from the Plan
Infinex does not receive any compensation directly from your Plan.

Indirect Compensation Payable to Infinex from Sources other than the Plan
As a registered broker/dealer, Infinex receives payments from the issuer and/or an affiliate of the issuer (the "Issuer") of the Plan's Group Annuity contract as indirect compensation for the investment-related services provided on behalf of the Plan. Such indirect compensation includes commissions payable from the Issuer. Commissions may be deposit-based (i.e., based on new purchase amounts under the Group Annuity) and/or asset-based (i.e., based on the total investment under the Group Annuity), and they may be charged on an ongoing basis or for only a fixed period. These commissions are payments made from the Issuer's assets, and they do not reduce the amount invested by the Plan or the Plan's investment earnings. The amount of any commission payable from the Issuer of the Group Annuity to Infinex is described in the proposal or the contract or service agreement from the Group Annuity.

In addition to commissions, the Issuer may also pay Infinex's expenses, or provide non-cash items and services, to facilitate training and educational meetings for our financial advisors, which do not depend on the amount of the Plan's investment under the Group Annuity.



Marketing Allowances Infinex may receive compensation from the insurance company (carrier) for providing ongoing marketing, training, and education to our Financial Advisors with respect to the carrier and its products. These marketing allowances are in addition to the sales charges, 12b-1 fees, and surrender charges in the annuity's fee table. Marketing allowances are generally paid from the insurance company's assets and do not directly reduce the amount invested by the Plan or the Plan's investment earnings. Not all insurance companies pay Infinex marketing allowances, and marketing allowances that are paid to us vary by insurance company. The compensation that we receive from those that do make such payments is up to 0.20% annually of new investments or a maximum of 0.05% annually on assets under management. [Click Here](#) to go directly to a list of insurance carriers from which Infinex receives marketing allowances.

Compensation for Termination of Services

Infinex does not receive any additional compensation in connection with the termination of the Plan's investment-related services.

Important Information

This Notice describes certain types of compensation payable directly or indirectly to Infinex in connection with the investment-related services provided on behalf of your Plan. The information included in this Notice is intended to satisfy the disclosure requirements under Section 408(b)(2) of the Employee Retirement Income Security Act of 1974, as amended (ERISA). The Plan may incur other service charges that are not payable to Infinex. Such charges may include legal expenses, fees and other costs payable to the Plan's administrative service providers, the cost for auditing the Plan's financial statements, and other related expenses. Information regarding these other service charges may be obtained from the applicable service provider. In addition, please refer to your Group Annuity recordkeeping platform's proposal, service agreement, and/or contract.

The information included in this Notice provides important information for plan fiduciaries, however is not intended to create, replace or modify any existing or prospective agreement with Infinex or any other entity that may exist now or in the future.

How to Contact Us

If you have questions regarding the services and/or compensation related to your Plan after reviewing this Online Disclosure, please contact your Infinex Financial Advisor or send an inquiry to our Erisa 408 (b) 2 Disclosure questions mailbox at 408b2Inquiries@Infinexgroup.com.

