

The listed fees below do not include commissions, markups, commission equivalents or advisory fees. These fees apply to brokerage accounts held at Infinex Investments, Inc. Some of these fees may not apply to all brokerage account types. Some of these fees may be waived under certain conditions.

Account or Service	Fee	Frequency
ACCOUNT SERVICE FEES		
Confirmation Fee	\$2	Per confirmation
Confirmation Surcharge	\$0.75	Per physical confirmation
Statement Surcharge	\$0.75	Per physical statement
Margin Extensions	\$10	Per event
Voluntary Cash Tenders	\$35	Per event
Mandatory Tenders	\$15	Per event
Legal Transfers	\$70	Per event
Returned Check/ACH	\$20	Per event
Stop Payment	\$25	Per event
Overnight Check Delivery	\$12, \$18 for Saturday	Per event
Check Copy (Corestone)	\$2.50	Per check
Wired Funds	\$20	Per wire
Inactive Equity Account	\$25	Per year
Inactive Mutual Fund Only Account	\$12.50	Per year
Mutual Fund Only IRA Conversion	\$50	Per event
Retirement Account Termination Fee	\$75	Per event
Outbound Transfer – Non-Retirement Account	\$125	Per event
Equity Dividend Reinvestment	\$1.00	Per investment (no fee for IRA accounts)
Customer Name Safekeeping Charge	\$10	Per account, per position, per month
International Safekeeping	\$10	Per account, per position, per month
Certificate Cancellation Fee	Varies based on TA	Per transaction
DRS Transfer Fee	Varies based on TA	Per transaction
Register (Transfer) and Ship	\$135	Per event
Employee Stock Option Exercise Fee	\$50	Per event
DWAC/DTC Deliveries	As incurred by Transfer Agent	Per event
Accommodation Transfers/Bearer Bond Withdrawals	\$25	Per transaction
CUSTODIAL FEES		
Qualified Plans	\$75 or \$125	Per year
SIMPLEs, Prototype SEPs and 403b(7) accounts	\$58.50	Per year
Traditional, Roth, Education IRAs, and 5305 SEPs	\$43.50	Per year
Mutual Fund Only IRAs	\$12.00	Per year
Special Product Fee (Registered Securities)	\$35.00	Per year
Special Product Fee (Non-registered Securities)	\$125.00	Per year
INTERNATIONAL CLEARING		
Eurobond Clearance	\$50	Per transaction
All Other Foreign Securities	\$75	Per transaction

ACCOUNTS CARRIED AT PERSHING LLC

*Fees subject to change at any time. This schedule represents Infinex's standard fees and expenses. Please note other fees may apply. Depending upon the circumstances, individual advisors may have the ability to negotiate lower fees and expenses for their clients.

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